

<h1 style="margin: 0;">FACTS</h1> <h2 style="margin: 0;">WHAT DOES ADVICEONE ADVISORY SERVICES, LLC DO WITH YOUR PERSONAL INFORMATION?</h2>		
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Driver's license number, Social Security number, and taxpayer identification number ■ Name, date of birth, address, phone number(s), and email address(es) ■ Account information (including other institutions); assets and liabilities; income and expenses; investment activity; and investment experience and goals <p>We also collect the following information from other sources:</p> <ul style="list-style-type: none"> ■ Custody, brokerage and advisory agreements ■ Other advisory agreements and legal documents ■ Transactional information with us or others ■ Account applications and forms ■ Investment questionnaires and suitability documents ■ Other information needed to service account 	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons AdviceOne Advisory Services, LLC ("AdviceOne") chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does AdviceOne share?	Can you limit this sharing?
For our everyday business purposes We may share non-public personal information with nonaffiliated third parties (such as administrators, brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed-upon services to you, consistent with applicable law, including but not limited to: processing transactions; general account maintenance; responding to regulators or legal investigations; and credit reporting.	Yes	No
Authorized Users Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent(s) or representative(s).	Yes	Yes
For our marketing purposes AdviceOne does not disclose, and does not intend to disclose, personal information with nonaffiliated third parties for marketing purposes. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where AdviceOne or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.	No	Not Shared
For joint marketing with other financial companies	No	Not Shared

For our affiliates' everyday business purposes-- information about your transactions and experiences	No	Not Shared
For our affiliates' everyday business purposes-- information about your creditworthiness	No	Not Shared
For our affiliates to market to you	No	Not Shared
For nonaffiliates to market to you.	No	Not Shared
Information About Former Clients AdviceOne does not disclose and does not intend to disclose, non-public personal information to nonaffiliated third parties with respect to persons who are no longer our Clients.	No	Not Shared

Questions?	Call us toll-free at 1-800-218-8588 or at (860) 659-4900 or visit our website .
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Who we are

Who is providing this notice?	AdviceOne Advisory Services, LLC
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What we do

How does AdviceOne protect my personal information?	To safeguard your personal information from unauthorized access and use we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect Clients' personal information. We require third parties that assist in providing our services to you to protect the personal information they receive from us.
How does AdviceOne collect my personal information?	We collect your personal information, for example, from the following documents received or processed in relation to the services we provide: <ul style="list-style-type: none"> ■ Application forms ■ Agreements ■ Profile or investment policy statements ■ Other documents received or processed in relation to services we provide We also may collect your personal information from others, such as administrators, brokers, custodians, credit agencies, and other financial institutions.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ■ <i>AdviceOne Advisory Services, LLC is wholly owned by AdviceOne LLC. AdviceOne LLC is the sole member of AdviceOne Empowerment Coaching LLC.</i>
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<p>Nonaffiliates</p>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>We may share non-public personal information with nonaffiliated third parties (such as administrators, brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed-upon services to you, consistent with applicable law.</i>
<p>Joint marketing</p>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>AdviceOne does not disclose, and does not intend to disclose, personal information with nonaffiliated third parties for marketing purposes.</i>
<p>Other important information</p>	
<p>Changes to our Privacy Policy</p> <p>We will send you a copy of this Policy annually for as long as you maintain an ongoing relationship with us. Periodically we may revise this Policy and will provide you with a revised policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.</p>	